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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/746,157

12/22/2000

David W. Green

D5407-122 584-25558-US

CONFIRMATION NO. 2332

FORMALITIES LETTER

OC000000005757223*

Duane, Morris & Heckscher LLP Suite 500 One Greenway Plaza Houston, TX 77046

Date Mailed: 02/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

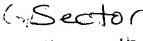
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Green, et al.

Serial No.:

09/746,157

Filed:

December 22, 2000

Title:

Method and System and Article of

Manufacture for an N-Tier Software §

Component Architecture

Application

Group Art Unit:

2212

Examiner:

N/A

Atty Docket: D5407-122

584-25558-US

Attn: Box Missing Parts Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF MISSING PARTS OF APPLICATION

§

Dear Sir:

In response to the Notice to File Missing Parts mailed February 12, 2001, Applicant enclose for filing in the above-captioned application the following documents:

Copy of Notice of Missing Parts;

Combined Declaration and Power of Attorney;

Assignment and Form PTO-1595;

Submission of Formal Drawings (16 sheets); and

an Acknowledgment Postcard.

The Commissioner is hereby authorized to charge Deposit Account No. 02-0429 the amount of \$130 for late filing fee of the Declaration and \$40 for the recordation of Assignment. The Commissioner is also authorized to charge any underpayment or credit any overpayment to Deposit Account No. 02-0429.

March 22, 2001

Gary R. Maze

Registration No. 42,851

Respectfully submitted,

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CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that this correspondence, and any referred to as being attached or enclosed, is being deposited with the United States Postal Service as "Express Mail, Post Office to Addressee," Express Mail No. EL 674 495 588 US in an envelope addressed to: Box: Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on March 22, 2001.

Susan P. Lee

MAR 2 2 2007